

APPLICATION FORM: ZENIO CAPITAL EQUITY FUND

Please use this form if you wish to invest in the Fund by making an application.

1. Read and ensure you understand the Information Memorandum

The Information Memorandum dated 8th May 2020 (**IM**) is available upon request from hello@zeniocapital.com. Before completing this Application Form you should read the IM in its entirety.

2. Complete all relevant sections of this Application Form in block letters and using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes

Individuals: Complete parts A, B.1 and C of section 1 of this Application Form.

Companies: Complete parts A, B.2 and C of section 1 of this Application Form.

Trusts: Complete:

- if you are an individual trustee - complete parts A, B.1 (for the trustee), B.3 and C of section 1.
- if you are a trust with a company as a trustee – complete parts A, B.2 (for the trustee), B.3 and C of section 1 of this Application Form.

3. Certify and provide the identification documents

Please refer to Section 2 and complete the relevant identification document attached to this Application Form.

4. Tell us your tax status

If you are a resident of a country other than Australia for tax purposes, please also complete section 3 of this Application Form.

5. Confirm your wholesale investor status

To be able to invest in the Fund, you must be a wholesale client as defined in section 761G of the Corporations Act or a sophisticated investor under section 761GA of the Corporations Act.

Refer to section 9 of the IM for details of the requirements of a wholesale client and sophisticated investor.

6. Send your documents to Zenio Capital Limited:

by post:

P. O. Box 7951,

Gold Coast Mail Centre

QLD 9726

or by email to: hello@zeniocapital.com

7. Payment of application moneys

Do not pay your application monies when you submit your Application Form. Your Application Form will be processed and, once processed, we will contact you to request payment of your application monies and provide payment instructions, for payment by cheque or EFT.

Legal notices

This Application Form relates to the Information Memorandum dated 8 May 2020 (**IM**) for an offer of units in the Fund. The IM contains important information about investing in the Fund and you should read it before applying for units.

Zenio Capital Limited ACN 623 948 998 holder of Australian Financial Services Licence 506982 (**Trustee**) is the trustee of the Fund.

The Trustee is committed to ensuring the confidentiality and security of your personal information. We handle your personal information in accordance with the *Privacy Act 1988* (Cth) and our privacy policy, which is available on request.

Paper application forms should always be accompanied by a paper copy of the current IM. Electronic application forms (such as downloaded and emailed copies) should always be attached to the current IM. If the IM is missing, do not complete this form. Instead, contact us and you will be sent the current IM. Prior to its completion and signing, this Application Form must not be handed to any person unless accompanied by the IM.

Section 1 – Application Details

Complete all relevant sections of this Part A in BLOCK LETTERS and using black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

PART A – INVESTMENT DETAILS

Are you an existing investor in the Fund?

- No - Complete all parts of Section 1 of this Application Form
- Yes – Please enter your Investor ID and complete Part A and Part C only.

Investor ID

Investment Details And Amount

Offer name	Investment amount*
Zenio Capital Equity Fund	

* Refer to the IM for details of the minimum investment amount and subsequent increments.

Financial institution account details

Please provide the financial institution account details in order to receive your distribution payments and/or future redemption payments. Payments will only be made to an account held in the name of the investor/s. Payments will not be made into third party accounts.

Financial institution Name

Branch name

BSB Number

Account Number

Account name

Communication

Correspondence

- Investor correspondence (such as transaction confirmations, statements, reports and other material) will be sent to you by email. Please tick this box if you do not wish to receive correspondence by email.

Marketing

- Please ensure no marketing material is sent to me.

Wholesale Investor confirmation

Please select one of the following:

- I am investing at least \$500,000 in the Fund
- I meet the gross income or net asset test and have attached with this Application Form a certificate* from a Qualified Accountant**
- I am a professional investor as defined in section 9 of the Corporations Act as I am a _____ (specify category of professional investor)
- I am a sophisticated investor and attach a copy of the statement from an AFS licensee or authorised representative and my acknowledgment in accordance with section 761GA of the Corporations Act

This Offer, and an investment in the Fund, is only available to persons who are wholesale clients as defined in section 761G of the Corporations Act or a sophisticated investor under section 761GA of the Corporations Act.

* This certificate must be dated not more than 24 months prior to when it is provided to us.

**Qualified accountant means a member of CPA Australia who is entitled to use the post-nominals 'CPA' or 'FCPA'; a member of the Chartered Accountants Australia and New Zealand who is entitled to use the post-nominals 'CA', 'ACA' or 'FCA'; or a member of the Institute of Public Accountants who is entitled to use the post-nominals 'AIPA', 'MIPA' or 'FIPA'. Investors in certain foreign countries can obtain the certificate from a qualified accountant in that country. If you are proposing to provide a certificate from a qualified accountant from a country other than Australia please contact us first to confirm the accountant satisfies the applicable requirements.

Financial Adviser Details

If you have a financial adviser and you would like us to be able to communicate with them directly in relation to your investment in the Fund, your financial adviser can assist you complete this section.

Adviser name

Home number
(include country and area code)

Business number
(include country and area code)

Mobile number
(include country code)

Email address

PART B – INVESTOR DETAILS

B1: INDIVIDUAL

Please complete if you are investing individually, jointly or you are an individual or joint trustee

Investor 1: Personal details

Title

Full name

Date of birth (DD/MM/YY)

Usual occupation

Residential address
(A PO Box/RMB/Locked Bag is not acceptable)

Property/Building name
(if applicable)

Unit

Street number

Street name

State

Suburb

Postcode

Country

Postal address
(If different to residential address. A PO Box/RMB/Locked Bag is acceptable)

Property/Building name
(if applicable)

Unit

Street number

Street name

State

Suburb

Postcode

Country

Contact Details

Mobile number
(include country code)

Email address

Home number
(include country and area code)

Business number
(include country and area code)

Are you a sole trader?

- No
 Yes

If you are a sole trader, what is your
business name?

ABN (if any)

Tax details – Australian residents

If you are an Australian resident for tax purposes please provide your Tax File Number (TFN) or claim an exemption. You do not have to provide your TFN or claim an exemption. If you do not provide your TFN or reason for exemption, you will be taxed at the highest marginal tax rate plus the Medicare levy.

TFN

Reason for exemption

Property/Building name
(if applicable)

Tax details – Non Australian residents

If you are not an Australian resident for tax purposes, please indicate your country of residence for tax purposes*

Country of residence

**Please also complete Section 3 of this Application Form.*

Investor 2: Personal details

Title

Full name

Date of birth (DD/MM/YY)

Usual occupation

Residential address
(A PO Box/RMB/Locked Bag is not acceptable)

Property/Building name
(if applicable)

Unit

Street number

Street name

State

Suburb

Postcode

Country

Postal address
(If different to residential address. A PO Box/RMB/Locked Bag is acceptable)

Property/Building name
(if applicable)

Unit

Street number

Street name

State

Suburb

Postcode

Country

Home number
(include country and area code)

Business number
(include country and area code)

Mobile number
(include country code)

Email address

Investor correspondence (such as transaction confirmations, statements, reports and other material) will be sent to you by email. Please tick this box if you do not wish to receive correspondence by email.

Are you a sole trader?

No

Yes

If you are a sole trader, what is your business name?

ABN (if any)

Tax details – Australian residents

If you are an Australian resident for tax purposes please provide your Tax File Number (TFN) or claim an exemption. You do not have to provide your TFN or claim an exemption. If you do not provide your TFN or reason for exemption, you will be taxed at the highest marginal tax rate plus the Medicare levy.

TFN

Reason for exemption

Property/Building name
(if applicable)

Tax details – Non Australian residents

If you are not an Australian resident for tax purposes, please indicate your country of residence for tax purposes*

Country of residence

**Please also complete Section 3 of this Application Form.*

If there are more than two individual trustees, please attach additional completed copies of this page to your application.

B2: COMPANIES

Please complete if you are investing as a company or as a trust with a company as trustee.

Company details

Full name of company
(as registered by ASIC if incorporated in Australia)

ACN or ABN
for foreign companies provide your Australian registered body number (ARBN) if you have one

Australian Tax File Number (TFN)

Country of residency
(if a foreign company)

If you are a foreign company and have appointed a local agent what is their name?

Registered office address

(A PO Box/RMB/Locked Bag is not acceptable. If you are a foreign company that is registered in Australia write your registered Australian address)

Property/Building name
(if applicable)

Unit

Street number

Street name

State

Suburb

Postcode

Country

Postal address

(If different to registered office address. A PO Box/RMB/Locked Bag is acceptable)

Property/Building name
(if applicable)

Unit

Street number

Street name

State

Suburb

Postcode

Country

Contact person at company

Name

Home number
(include country and area code)

Business number
(include country and area code)

Mobile number
(include country code)

Email address

This email address is the default address for all investor correspondence (such as transaction confirmations, statements, reports and other material).

B3: TRUSTS OR SUPERANNUATION FUNDS

Please complete if you are investing as a trust or superannuation fund. Each trustee must also complete the 'individual' or company' section above as appropriate.

Trust or superannuation fund details

Name of trust or superannuation fund

ABN

(applicable if you are a trust or a self managed superannuation fund registered with the Australian Tax Office)

Australian Tax File Number (TFN)

PART C – DECLARATIONS

Acknowledgements

When you apply to invest, you (the applicant) are telling us:

- you have received, read and understood the IM dated 8th May 2020 for the Offer of Units in the Fund;
- you are a wholesale client as defined in section 761G of the Corporations Act or a sophisticated investor under section 761GA of the Corporations Act;
- you acknowledge that a commission may be paid to your financial adviser for recommending, or facilitating, your investment in the Fund;
- you understand the information in the IM is general information only and does not take into account your individual objectives, financial situation or needs;
- all details provided by you in this Application Form are true and correct and you understand that we will rely on the information in this Application Form;
- monies deposited are not associated with crime, terrorism, money laundering or terrorism financing, nor will monies received from your account have any such association;
- you are not bankrupt or a minor;
- you agree to be bound by the trust deed of the Fund, as amended from time to time, and the current IM and you will become a unitholder on and subject to the terms of the Fund's trust deed (as amended from time to time);
- you authorise us to use the TFN, ABN or exemption provided (if any) for all future applications for Units;
- you understand the risks of investing in the Fund;
- if requested by us, you will provide information we require in order to comply with an applicable law, including the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (AML/CTF Act), the United States Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS);
- you are not a 'politically exposed' person or organisation for the purpose of the AML/CTF Act and will notify us if you become a 'politically exposed' person or organisation for the purposes of the AML/CTF Act;
- you understand that we may (acting reasonably) decide to delay or refuse any request or transaction (including by suspending the issue of Units or withholding a distribution), if we are concerned that the request or transaction may breach any obligation of, or cause any person to commit or participate in an offence under, the AML/CTF Act, and we will not be liable to you if we do so;
- you understand that neither we or any related body corporate guarantees the repayment of capital invested in the Fund, the performance of the Fund or any particular return from the Fund; and
- you consent to the handling of your personal information in accordance with the Privacy Act 1988 and our privacy policy.

Signature

Signing Instructions

Individual — where the investment is in one name, the account holder must sign.

Joint Holding — where the investment is in more than one name, all of the account holders must sign. If more than two signatures are required, please attach an additional page with the full names of each account holder, their signatures, and date.

Companies — where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

Trust — the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

Power of Attorney — if signing under a Power of Attorney and you have not already lodged the Power of Attorney with us, please attach a certified copy of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the person who gave the Power of Attorney is still living.

Signature of individual or company officer	Print full name
	Date (DD/MM/YY)

Company officer (please indicate company capacity)

- Director
- Company secretary
- Authorised signatory

Signature of individual or company officer	Print full name
	Date (DD/MM/YY)

Company officer (please indicate company capacity)

- Director
- Company secretary
- Authorised signatory

If you are investing jointly or are a joint trustee, please indicate whether a single investor can operate your account.

- Yes
- No

Section 2 – Investor Identification

Instructions for completing identification forms

Which form?	<p>There are three forms which follow: one each for individuals, companies and trustees. Choose the form which is applicable to you.</p> <p>If you are a partnership, an incorporated association, a co-operative or a Government body, then contact us and we will provide you with the appropriate identification form.</p>
Copies or originals?	<p>This form asks you to send us certain documents. Please send us certified copies, not originals. We will keep what you send to us.</p>
Certifying copies	<p>You must have someone certify the copies you send to us. The following people can be the certifier:</p> <ul style="list-style-type: none"> • Your accountant <ul style="list-style-type: none"> So long as they are a member of the institute of chartered accountants Australia and new Zealand, CPA Australia or the institute of public accountants membership • Your lawyer <ul style="list-style-type: none"> As long as they are a person who is enrolled on the roll of the supreme court of a state or territory, or the high court, of Australia or foreign country, as a legal practitioner (however described), or an Australian justice of the peace or notary public or foreign equivalent • A police officer • A post office worker <ul style="list-style-type: none"> As long as they are in charge of a post office or are a permanent employee with 2 or more years of continuous service • A bank or financial institution officer <ul style="list-style-type: none"> As long as they are an officer with 2 or more continuous years of service with one or more financial institutions or companies • A consular officer <ul style="list-style-type: none"> So long as they are a consular officer or diplomatic officer, or • a judge, magistrate, chief executive officer of a court, or registrar or deputy registrar of a court.
What should the person certifying write?	<p>"I [name] of [address] being [capacity e.g. Justice of the Peace] certify this and the following [x] pages as a true copy of the original document." Each page should be initialled by the person certifying your documents.</p>
Not in English?	<p>Documents not in English must be accompanied by an English translation prepared by an accredited translator.</p>

IDENTIFICATION FORM – INDIVIDUALS

Please complete this form if you are a new investor. A separate form is required for each investor in the case of joint holdings. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

If you are a trustee, do NOT complete this form. Complete the Identification form – Trusts & Trustees instead.

1.0: Personal details

Title

Full name

Date of birth (DD/MM/YY)

Please select the source and origin of funds being invested:

- Savings
- Investments
- Superannuation contributions
- Commission
- Other. write the source and origin of funds below
- Donation / gift
- Inheritance
- Normal course of business
- Asset sale

Please select the purpose of your investment:

- Savings
- Growth
- Other (please specify)
- Income
- Retirement
- Business account

2.0: Verification procedure – individual investor

Please provide a certified copy of one document from Group 1 or if you can't, a certified copy of two documents from Group 2 for each individual applicant.

Group 1	Group 2
<p>Provide a certified copy of one of these:</p> <ol style="list-style-type: none"> Australian driver's licence showing your photo, and please copy the front and back or Foreign driver's licence showing your date of birth, signature and photo or Australian passport - a passport that has expired within the preceding two years is acceptable, and please copy the pages which identify you or Foreign passport- showing your signature and photo, and please copy the pages which identify you or Australian state or territory government issued ID card showing your date of birth, signature and photo or Foreign government issued ID card showing your date of birth, signature and photo. 	<p>If you can't provide anything from group 1, then provide a certified copy of one of the following:</p> <ol style="list-style-type: none"> Australian or foreign government issued birth certificate or Australian or foreign government issued citizenship certificate or Centrelink pension or health card - please copy the front and back. <p>Plus provide a certified copy of one of the following:</p> <ol style="list-style-type: none"> Government issued notice one which shows your name and residential address, not more than 12 months old or A rates or utilities notice one which shows your name and residential address, not more than 3 months old or ATO notice one which shows any debt owing to the ATO, your name and residential address, not more than 12 months old.

Signature

Signature	Print full name
	Date (DD/MM/YY)

IDENTIFICATION FORM – AUSTRALIAN & FOREIGN COMPANIES

Please complete this form if you are a company investing in the Fund for the first time. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

If you are a trustee, do NOT complete this form. Complete the Identification form – Trusts & Trustees instead.

1.0: Company details

1.1: General information

Full name of company

Please select the source and origin of funds being invested:

- | | |
|--|--|
| <input type="checkbox"/> Savings | <input type="checkbox"/> Donation / gift |
| <input type="checkbox"/> Investments | <input type="checkbox"/> Inheritance |
| <input type="checkbox"/> Superannuation contributions | <input type="checkbox"/> Normal course of business |
| <input type="checkbox"/> Commission | <input type="checkbox"/> Asset sale |
| <input type="checkbox"/> Other. write the source and origin of funds below | |

Please select the purpose of your investment:

- | | |
|---|---|
| <input type="checkbox"/> Savings | <input type="checkbox"/> Income |
| <input type="checkbox"/> Growth | <input type="checkbox"/> Retirement |
| <input type="checkbox"/> Other (please specify) | <input type="checkbox"/> Business account |

1.2: Australian company

Place of business

(if different to registered office address). A PO Box/RMB/Locked Bag is not acceptable)

Property/Building name
(if applicable)

Unit

Street number

Street name

State

Suburb

Postcode

Country

1.3: Foreign companies

Country of formation

Registered in Australia?

- No
- Yes – What is the ARBN

Registered in country of formation?

- No
- Yes – name of regulator/exchange

If you are a foreign company registered in Australia write your principal place of business in Australia and the full name and address of your Australian agent.

If you are a foreign company not registered in Australia write your registered business address in country of formation or principal place of business if there is not a registered address.

Registered business address in country of formation.

(A PO Box/ RMB/Locked Bag is not acceptable)

Property/Building name (if applicable)	<input type="text"/>		
Unit	<input type="text"/>	Street number	<input type="text"/>
Street name	<input type="text"/>		
State	<input type="text"/>	Suburb	<input type="text"/>
Postcode	<input type="text"/>	Country	<input type="text"/>

Please provide us with certified copies of one of the following:

- an ASIC or foreign regulator search or
- an ASIC or foreign regulator certificate of registration

2.0: Company type

Please complete the section below for public companies (section 2.1) or private companies (section 2.2) (as applicable).

2.1: Public company

Are you a public company?

- No
- Yes

2.1: Private company

Are you a private company?

- No
- Yes. If yes, please complete the director details section below if you are a private Australian company or a private foreign company. Do not complete for public companies.

Director detail

How many directors are there?

Provide the full name of each director:

Director 1

Title	<input type="text"/>
Full name	<input type="text"/>
Surname	<input type="text"/>

Director 2

Title

Full name

Surname

Director 3

Title

Full name

Surname

Director 4

Title

Full name

Surname

If there are more directors, please write down details on a piece of paper and attach this to your form.

Verification details

Please provide us with certified copies of one of the following:

- an ASIC search or
- your certificate of registration issued by ASIC

3.0: Regulated/listed companies

Are you an Australian listed company?

- No
- Yes - name that listed company and its market/exchange

Company

Market/ exchange

Are you a regulated company? - One which is licensed by an Australian Commonwealth State or Territory statutory regulator

- No
- Yes - name the regulator and your license number

Regulator

License number

If you answered yes to any of these questions, please provide us with a certified copy of one of the following and sign the form at the end.

For you, this form is then complete:

- ASIC search or
- a search of the license or other records of the relevant regulator or
- a public document issued by the company or
- a search of the relevant market/exchange

4.0: Non-regulated/non-listed companies

If you answered no to all the questions in section 3, please fill in the sections 4.1, 4.2 and 4.3 below.

4.1: Beneficial owner details

Please provide full names of all beneficial owners who are individuals who through one or more shareholdings ultimately own 25% or more of the company's issued capital or who control (whether directly or indirectly) the company, and either the date of birth or full residential address of each such beneficial owner.

Control' includes control as a result of, or by means of, trusts, agreements, arrangements, understandings and practices, whether or not having legal or equitable force and whether or not based on legal or equitable rights, and includes exercising control through the capacity to determine decisions about financial and operating policies.

Beneficial owner 1

Title	<input type="text"/>		
Full name	<input type="text"/>		
Surname	<input type="text"/>		
Date of birth (DD/MM/YY)	<input type="text"/>		
Residential address <small>(A PO Box/RMB/Locked Bag is not acceptable)</small>	<input type="text"/>		
Property/Building name (if applicable)	<input type="text"/>		
Unit	<input type="text"/>	Street number	<input type="text"/>
Street name	<input type="text"/>		
State	<input type="text"/>	Suburb	<input type="text"/>
Postcode	<input type="text"/>	Country	<input type="text"/>

We will assume that you hold the same percentage of the company's issued capital as you do voting rights in the company, unless you specify otherwise:

<input type="text"/>	<input type="text"/>	.	<input type="text"/>	%
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Beneficial owner 2

Title	<input type="text"/>
Full name	<input type="text"/>
Surname	<input type="text"/>

Date of birth (DD/MM/YY)

Residential address
(A PO Box/RMB/Locked Bag is not acceptable)

Property/Building name
(if applicable)

Unit

Street number

Street name

State

Suburb

Postcode

Country

We will assume that you hold the same percentage of the company's issued capital as you do voting rights in the company, unless you specify otherwise:

 . %

Beneficial owner 3

Title

Full name

Surname

Date of birth (DD/MM/YY)

Residential address
(A PO Box/RMB/Locked Bag is not acceptable)

Property/Building name
(if applicable)

Unit

Street number

Street name

State

Suburb

Postcode

Country

We will assume that you hold the same percentage of the company's issued capital as you do voting rights in the company, unless you specify otherwise:

 . %

Beneficial owner 4

Title

Full name

Surname

Date of birth (DD/MM/YY)

Residential address
(A PO Box/RMB/Locked Bag is not acceptable)

Property/Building name
(if applicable)

Unit

Street number

Street name

State

Suburb

Postcode

Country

We will assume that you hold the same percentage of the company's issued capital as you do voting rights in the company, unless you specify otherwise:

. %

Verification procedure beneficial owners

For each individual beneficial owner please provide a certified copy of one document from Group 1 or, if you can't, a certified copy of two documents from Group 2.

Group 1	Group 2
<p>Provide a certified copy of one of these:</p> <ol style="list-style-type: none"> 1. Australian driver's licence showing your photo, and please copy the front and back or 2. foreign driver's licence showing your date of birth, signature and photo or 3. Australian passport - a passport that has expired within the preceding two years is acceptable, and please copy the pages which identify you or 4. foreign passport- showing your signature and photo, and please copy the pages which identify you or 5. Australian State or Territory Government issued ID card showing your date of birth, signature and photo or 6. foreign Government issued ID card showing your date of birth, signature and photo. 	<p>If you can't provide anything from Group 1, then provide a certified copy of one of the following:</p> <ol style="list-style-type: none"> 1. Australian or foreign government issued birth certificate or 2. Australian or foreign government issued citizenship certificate or 3. Centrelink pension or health card - please copy the front and back. <p>Plus provide a certified copy of one of the following:</p> <ol style="list-style-type: none"> 4. Government issued notice one which shows your name and residential address, not more than 12 months old or 5. a rates or utilities notice one which shows your name and residential address, not more than 3 months old or 6. ATO notice one which shows any debt owing to the ATO, your name and residential address, not more than 12 months old.

4.2: Beneficial owner details

If there are any other individuals, who have not been listed above in section 4.1, and who are entitled, either directly or indirectly, to exercise 25% or more of the company's voting rights, please write down their full names on a piece of paper and attach to this form.

4.3: Director details

Provide the full name of the senior managing official (or equivalent) of the company, if any.

Title

Full name

Surname/ACN

'Control' includes control as a result of, or by means of, trusts, agreements, arrangements, understandings and practices, whether or not having legal or equitable force and whether or not based on legal or equitable rights, and includes exercising control through the capacity to determine decisions about financial and operating policies.

Verification procedure – Director details

If you are unable to provide details of the beneficial owners in section 4.1 above, please provide an ASIC company extract showing the name of the senior managing official, as provided in this section 4.3.

5.0: Signature

Signing Instructions

Where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

Signature of Director 1	Print full name
	Date (DD/MM/YY)

Company officer (please indicate company capacity)

- Director
- Company secretary
- Authorised signatory

Signature of Director 1	Print full name
	Date (DD/MM/YY)

Company officer (please indicate company capacity)

- Director
- Company secretary
- Authorised signatory

IDENTIFICATION FORM – TRUST AND TRUSTEE

Please complete this form if you are a new investor. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

1.0 : TRUST DETAILS

1.1: General information

Full name of trust

Business name (if any)

Country in which the trust was established

Please select the source and origin of funds being invested:

- | | |
|--|--|
| <input type="checkbox"/> Savings | <input type="checkbox"/> Donation / gift |
| <input type="checkbox"/> Investments | <input type="checkbox"/> Inheritance |
| <input type="checkbox"/> Superannuation contributions | <input type="checkbox"/> Normal course of business |
| <input type="checkbox"/> Commission | <input type="checkbox"/> Asset sale |
| <input type="checkbox"/> Other. write the source and origin of funds below | |

Please select the purpose of your investment:

- | | |
|---|---|
| <input type="checkbox"/> Savings | <input type="checkbox"/> Income |
| <input type="checkbox"/> Growth | <input type="checkbox"/> Retirement |
| <input type="checkbox"/> Other (please specify) | <input type="checkbox"/> Business account |

2.0: Type of trust

2.1: Regulated Trust

This includes complying super funds and SMSFs

Super fund – or another type of trust registered and regulated by an Australian Commonwealth statutory regulator

- No
 Yes – If Yes, Please tell us

The trust's ABN

The regulator if not APRA or the ATO

Any license number

Registered managed investment scheme

- No
 Yes – If Yes, Please tell us

The ARSN

Government superannuation fund

- No
 Yes

If you answered yes to any of these questions, then please provide us with certified copies of one of the following:

- Super funds: go to www.abn.business.gov.au, select the "Super Fund Lookup" option and print out the results for your super fund or
 registered managed investment schemes as ASIC search of the scheme or
 Government superannuation funds- an extract of the establishing legislation

2.2: Non regulated trusts

Including family discretionary trusts, family and other unit trusts, deceased estates and charitable trusts (but not including self- managed super funds)

Are you a non-regulated trust?

- No
 Yes. If Yes, please specify the type of trust

Please provide full names of all beneficial owners who are individuals who own 25% or more of the trust income or assets or who control (whether directly or indirectly) the trust and either the date of birth or full residential address of each beneficial owner:

'Control' includes control as a result of, or by means of, trusts, agreements, arrangements, understandings and practices, whether or not having legal or equitable force and whether or not based on legal or equitable rights, and includes exercising control through the capacity to determine decisions about financial and operating policies.

Beneficial owner 1

Title	<div style="background-color: #f0f0f0; height: 26px;"></div>		
Full name	<div style="background-color: #f0f0f0; height: 26px;"></div>		
Surname	<div style="background-color: #f0f0f0; height: 26px;"></div>		
Date of birth (DD/MM/YY)	<div style="background-color: #f0f0f0; height: 26px;"></div>		
Residential address <small>(A PO Box/RMB/Locked Bag is not acceptable)</small>	<div style="background-color: #f0f0f0; height: 26px;"></div>		
Property/Building name (if applicable)	<div style="background-color: #f0f0f0; height: 26px;"></div>		
Unit	<div style="background-color: #f0f0f0; height: 26px;"></div>	Street number	<div style="background-color: #f0f0f0; height: 26px;"></div>
Street name	<div style="background-color: #f0f0f0; height: 26px;"></div>		
State	<div style="background-color: #f0f0f0; height: 26px;"></div>	Suburb	<div style="background-color: #f0f0f0; height: 26px;"></div>
Postcode	<div style="background-color: #f0f0f0; height: 26px;"></div>	Country	<div style="background-color: #f0f0f0; height: 26px;"></div>

Beneficial owner 2

Title	<div style="background-color: #f0f0f0; height: 26px;"></div>		
Full name	<div style="background-color: #f0f0f0; height: 26px;"></div>		
Surname	<div style="background-color: #f0f0f0; height: 26px;"></div>		

Date of birth (DD/MM/YY)

Residential address
(A PO Box/RMB/Locked Bag is not acceptable)

Property/Building name
(if applicable)

Unit

Street number

Street name

State

Suburb

Postcode

Country

Beneficial owner 3

Title

Full name

Surname

Date of birth (DD/MM/YY)

Residential address
(A PO Box/RMB/Locked Bag is not acceptable)

Property/Building name
(if applicable)

Unit

Street number

Street name

State

Suburb

Postcode

Country

Beneficial owner 4

Title

Full name

Surname

Date of birth (DD/MM/YY)

Residential address
(A PO Box/RMB/Locked Bag is not acceptable)

Property/Building name
(if applicable)

Unit

Street number

Street name

State	<input type="text"/>	Suburb	<input type="text"/>
Postcode	<input type="text"/>	Country	<input type="text"/>

If there are any other direct beneficiaries of the trust who are not beneficial owners, write down their names on a piece of paper and attach to this form.

If the trust deed describes the beneficiaries by reference to member of a class please write down on a piece of paper, the class to which the beneficiaries belong e.g. family members, unit holders, un-named charities and attach to this form.

Please provide the name of the appointor of the trust, if applicable

Name of the appointor of the trust

Appointor' means the appointor has the power to appoint or remove the trustees of the trust. Not all trusts have an appointor.

Name of trust settlor

'Settlor' means the person that creates the trust. The settlor may be, for example, your accountant or solicitor.

Note: you do not need to provide the name of the trust settlor if the settlor is deceased, or has made an asset contribution of less than \$10,000 to the trust, at the time the trust was established.

If you are a non-regulated trust, please provide us with certified copies of one of the following:

- Trust deed or an extract of the trust deed showing the full name of the trust and any named trust settlor
- Other documentation confirming the full name of the trust and the name of the trust settlor

3.0: Trustee details

3.1: Verification procedure – individual trustee

Title

Full name

Surname

Please provide, for one trustee only, a certified copy of one document from Group 1 or if you can't, a certified copy of two documents from Group 2.

Group 1	Group 2
Provide a certified copy of one of these: <ol style="list-style-type: none"> Australian driver's licence showing your photo, and please copy the front and back or foreign driver's licence showing your date of birth, signature and photo or Australian passport - a passport that has expired within the preceding two years is acceptable, and please copy the pages which identify you or foreign passport- showing your signature and photo, and please copy the pages which identify you or Australian State or Territory Government issued ID card showing your date of birth, signature and photo or foreign Government issued ID card showing your date of birth, signature and photo. 	If you can't provide anything from Group 1, then provide a certified copy of one of the following: <ol style="list-style-type: none"> Australian or foreign government issued birth certificate or Australian or foreign government issued citizenship certificate or Centrelink pension or health card - please copy the front and back. Plus provide a certified copy of one of the following: <ol style="list-style-type: none"> Government issued notice one which shows your name and residential address, not more than 12 months old or a rates or utilities notice one which shows your name and residential address, not more than 3 months old or ATO notice one which shows any debt owing to the ATO, your name and residential address, not more than 12 months old.

3.2: Verification procedure – company trustee

3.2.1: General information

Full name of company trustee

3.2.2: Australian company trustee

Place of business
(A PO Box/RMB/Locked Bag is not acceptable.)

Property/Building name
(if applicable)

Unit

Street number

Street name

State

Suburb

Postcode

Country

3.2.3: Foreign Company Trustee

Country of formation

Registered in Australia?

- No
- Yes – What is the ARBN

Registered in country of formation?

- No
- Yes – name of regulator/exchange

Identification number issued by
foreign registration body

Identification number issued by
foreign registration body

Registered business address in country of formation.
(A PO Box/ RMB/Locked Bag is not acceptable)

Property/Building name
(if applicable)

Unit

Street number

Street name

State

Suburb

Postcode

Country

Please provide us with certified copies of one of the following:

- an ASIC or foreign regulator search or
- an ASIC or foreign regulator certificate of registration

3.2.4: Company type

Please complete the section below for public companies (3.2.4(a)) or private companies (section 3.2.4(b)) (as applicable).

3.2.4 (a): Public company

Are you a public company?

- No
- Yes

3.2.4 (b): Private company

Are you a private company?

- No
- Yes. If yes, please complete the director details section below if you are a private Australian company or a private foreign company. Do not complete for public companies.

Director detail

How many directors are there?

Provide the full name of each director:

Director 1

Title

Full name

Surname

Director 2

Title

Full name

Surname

Director 3

Title

Full name

Surname

Director 4

Title

Full name

Surname

If there are more directors, please write down details on a piece of paper and attach this to your form.

Verification details- company type

Please provide us with certified copies of one of the following:

- an ASIC search or
- your certificate of registration issued by ASIC

3.2.5: Regulated/listed companies

Are you an Australian listed company?

- No
- Yes - name that listed company and its market/exchange

Company

Market/ exchange

Are you a regulated company? - One which is licensed by an Australian Commonwealth State or Territory statutory regulator

- No
- Yes - name the regulator and your license number

Regulator

License number

If you answered yes to any of these questions, please provide us with a certified copy of one of the following and sign the form at the end.

For you, this form is then complete:

- ASIC search or
- a search of the license or other records of the relevant regulator or
- a public document issued by the company or
- a search of the relevant market/exchange

3.2.6: Non-regulated/non-listed companies

If you answered no to all the questions in section 3.2.5, please fill in the sections 3.2.6(a), 3.2.6(b) and 3.2.6(c) below.

3.2.6(a): Beneficial owner details

Please provide names of all beneficial owners who are individuals who through one or more shareholdings ultimately own 25% or more of the company's issued capital or who control (whether directly or indirectly) the company, and either the date of birth or full residential address of each such beneficial owner.

Beneficial owner 1

Title

Full name

Surname

Date of birth (DD/MM/YY)

Residential address

(A PO Box/RMB/Locked Bag is not acceptable)

Property/Building name
(if applicable)

Unit	<input type="text"/>	Street number	<input type="text"/>
Street name	<input type="text"/>		
State	<input type="text"/>	Suburb	<input type="text"/>
Postcode	<input type="text"/>	Country	<input type="text"/>

We will assume that you hold the same percentage of the company's issued capital as you do voting rights in the company, unless you specify otherwise:

<input type="text"/>	<input type="text"/>	.	<input type="text"/>	%
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Beneficial owner 2

Title	<input type="text"/>		
Full name	<input type="text"/>		
Surname	<input type="text"/>		
Date of birth (DD/MM/YY)	<input type="text"/>		
Residential address <i>(A PO Box/RMB/Locked Bag is not acceptable)</i>	<input type="text"/>		
Property/Building name (if applicable)	<input type="text"/>		
Unit	<input type="text"/>	Street number	<input type="text"/>
Street name	<input type="text"/>		
State	<input type="text"/>	Suburb	<input type="text"/>
Postcode	<input type="text"/>	Country	<input type="text"/>

We will assume that you hold the same percentage of the company's issued capital as you do voting rights in the company, unless you specify otherwise:

<input type="text"/>	<input type="text"/>	.	<input type="text"/>	%
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Beneficial owner 3

Title	<input type="text"/>		
Full name	<input type="text"/>		
Surname	<input type="text"/>		
Date of birth (DD/MM/YY)	<input type="text"/>		
Residential address <i>(A PO Box/RMB/Locked Bag is not acceptable)</i>	<input type="text"/>		
Property/Building name (if applicable)	<input type="text"/>		

Unit	<input type="text"/>	Street number	<input type="text"/>
Street name	<input type="text"/>		
State	<input type="text"/>	Suburb	<input type="text"/>
Postcode	<input type="text"/>	Country	<input type="text"/>

We will assume that you hold the same percentage of the company's issued capital as you do voting rights in the company, unless you specify otherwise:

<input type="text"/>	<input type="text"/>	.	<input type="text"/>	%
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Beneficial owner 4

Title	<input type="text"/>		
Full name	<input type="text"/>		
Surname	<input type="text"/>		
Date of birth (DD/MM/YY)	<input type="text"/>		
Residential address <i>(A PO Box/RMB/Locked Bag is not acceptable)</i>	<input type="text"/>		
Property/Building name (if applicable)	<input type="text"/>		
Unit	<input type="text"/>	Street number	<input type="text"/>
Street name	<input type="text"/>		
State	<input type="text"/>	Suburb	<input type="text"/>
Postcode	<input type="text"/>	Country	<input type="text"/>

We will assume that you hold the same percentage of the company's issued capital as you do voting rights in the company, unless you specify otherwise:

<input type="text"/>	<input type="text"/>	.	<input type="text"/>	%
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Verification procedure beneficial owners

For each individual beneficial owner please provide a certified copy of one document from Group 1 or, if you can't, a certified copy of two documents from Group 2.

Group 1	Group 2
Provide a certified copy of one of these: <ol style="list-style-type: none"> Australian driver's licence showing your photo, and please copy the front and back or foreign driver's licence showing your date of birth, signature and photo or Australian passport - a passport that has expired within the preceding two years is acceptable, and please copy the pages which identify you or foreign passport- showing your signature and photo, and please copy the pages which identify you or 	If you can't provide anything from Group 1, then provide a certified copy of one of the following: <ol style="list-style-type: none"> Australian or foreign government issued birth certificate or Australian or foreign government issued citizenship certificate or Centrelink pension or health card - please copy the front and back. Plus provide a certified copy of one of the following: <ol style="list-style-type: none"> Government issued notice one which shows your name and residential address, not more than 12 months old or

Group 1 (continue)	Group 2 (continue)
5. Australian State or Territory Government issued ID card showing your date of birth, signature and photo or 6. Foreign government issued ID card showing your date of birth, signature and photo.	5. a rates or utilities notice one which shows your name and residential address, not more than 3 months old or 6. ATO notice one which shows any debt owing to the ATO, your name and residential address, not more than 12 months old.

3.2.6(b): Voting rights

If there are any other individuals, who have not been listed above in section 3.2.6(a), and who are entitled, either directly or indirectly, to exercise 25% or more of the company's voting rights, please write down their full names on a piece of paper and attach to this form.

3.2.6(c): Voting rights

Provide the full name of the senior managing official (or equivalent) of the company, if any.

Individual 1

Title

Full name

Surname

Individual 2

Title

Full name

Surname

'Senior managing official' means an individual who makes, or participates in making, decisions that affect the whole, or a substantial part of the company, or that may significantly affect the company's financial standing.

Verification procedure – director details

If you are unable to provide details of beneficial owners in 3.2.6(a) above, please provide an ASIC company extract showing the name of the senior managing official, as provided in this section 3.2.6(c).

4.0: Signature

Signature of individual or company officer	Print full name
<input type="text"/>	<input type="text"/>
	Date (DD/MM/YY)
	<input type="text"/>

Company officer (please indicate company capacity)

- Director
- Company secretary
- Authorised signatory

Signature of individual or company officer	Print full name
	Date (DD/MM/YY)

Company officer (please indicate company capacity)

- Director
- Company secretary
- Authorised signatory

Section 3 - FATCA and CRS disclosure

Please complete this form if you are an individual, company or trust investor. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

PART A – FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) DISCLOSURE

Is the applicant (whether an individual) or any shareholder (when a company) or beneficial owner* (when a trust) a US citizen or resident of the US for tax purposes?

- No – If No, please proceed to part B of this section 3.
- Yes – If Yes, please complete the rest of part A of this section 3.

Beneficial Owner' means an individual who ultimately owns or controls (directly or indirectly) the investor. In the case of a company or trust these individuals who own through one or more shareholdings, unitholdings or interests more than 25% of the issued capital, equity or ruling rights.

Please attach a separate piece of paper listing all individuals or beneficial owners if there are more than two (2).

FOREIGN ACCOUNT TAX COMPLIANCE ACT ('FATCA')

Please complete Part A, below if you are investing as an individual or sole trader. Please complete Part B below if you are investing as a company or trust (including a SMSF).

INDIVIDUAL

Are you a US citizen or resident for tax purposes?

- No
- Yes – If Yes, provide your US Taxpayer Identification Number for all other applicants.

Applicant 1	Applicant 1

COMPANIES AND TRUSTS

Are you a US trust, US Company or US Partnership?

- No – If No, complete Non US Entities section below.
- Yes – If Yes, provide your US Taxpayer Identification Number.

Is the trust or company exempt for US tax purposes?

- No – US Citizens or Residents for Tax Purposes
- Yes

NON-US ENTITIES

Are you a (please tick ONE box from the list below):

- Regulated superannuation fund (self-managed super fund, APRA regulated super fund, government super fund or pooled superannuation trust)
- Government entity
- Registered cooperative
- Association
- Non-financial public company (public companies that are not financial institutions)
- Financial institution or trust with a trustee that is a financial institution, Global Intermediary Identification Number, (GIIN), if applicable. If no GIIN is available, provide FATCA status
- Non financial proprietary company
- Partnership
- Trust (including testamentary trust) other than a trust with a trustee that is a financial institution
- Charity

PART B – COMMON REPORTING STANDARD DISCLOSURE – OTHER FOREIGN COUNTRIES

Is the applicant (whether an individual) or any shareholder (when a company) or beneficial owner* (when a trust) a tax resident of a country other than Australia or the United States of America?

- No – If No, this form is now complete.
- Yes – If Yes, please complete the rest of part B of this section 3.

If the individual or entity is a tax resident of any other country outside of Australia or the United States of America, please indicate the country(ies) in which they are a resident for tax purposes and each country's associated Tax Identification Number (TIN). If a TIN is not available, please tick the appropriate reasons.

Beneficial Owner' means an individual who ultimately owns or controls (directly or indirectly) the investor. In the case of a company or trust these individuals who own through one or more shareholdings, unitholdings or interests more than 25% of the issued capital, equity or ruling rights.

Note: Please attach a separate piece of paper listing all individuals or beneficial owners if there are more than two (2).

Name

Country Tax Identification number

Reason if no TIN provided (please tick one)

- Foreign TIN not issued by this country
- Individual is under age
- Foreign TIN pending issue by country's tax authority

Name

Country Tax Identification number

Reason if no TIN provided (please tick one)

- Foreign TIN not issued by this country
- Individual is under age
- Foreign TIN pending issue by country's tax authority
